C/TMH:gth 3/27/06 6616-72617-02 497332 AG04-032C-US

Attorney Reference Number 6616-72617-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In pe/application of: Anderson et al.

polication No. 10/562,106

Filed: December 22, 2005

Confirmation No.

GENERATION OF PLANTS WITH For:

IMPROVED DROUGHT TOLERANCE

Examiner:

Art Unit:

Attorney Reference No. 6616-72617-02

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent for Applicant(s)

Date Mailed March 27

TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- \bowtie Supplemental Information Disclosure Statement

 - References (9)
- \boxtimes The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- \boxtimes Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST-SPARKMAN, LLP

By

Tanya Harding, Ph.D. Registration No/42,630

One World Trade Center, Suite 1600

121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446

Docketing

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In reapplication of: Anderson et al.

Application No. 10/562,106

Filed: December 22, 2005

Confirmation No.

For: GENERATION OF PLANTS WITH

IMPROVED DROUGHT TOLERANCE

Examiner:

Art Unit:

Attorney Reference No. 6616-72617-02

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below

Attorney or Agent for Applicant(s)

Date Mailed March

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicants will provide copies of such patents or applications upon request.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS.

AC/TMH:gth 03/27/06 6616-72617-02 497322 AG04-032C-USPATENT

However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. Deposit Account authority is provided on the accompanying transmittal letter.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Tanya Harding, Ph.D. Registration No. 42,630

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

OIPE			
AC/TMH:gth 03/2/786	6616-72617-02	497325	AG04-032C-US
MAR 2 9 2006			

	Attorney Docket Number	6616-72617-02
	Application Number	10/562,106
FORMATION DISCLOSURE	Filing Date	December 22, 2005
NT BY APPLICANT	First Named Inventor	Anderson
	Art Unit	
	Evaminer Name	

U.S. PATENT DOCUMENTS

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's , Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		6,791,012	August 24, 1999	CHEN et al.
		5,939,601	August 17, 1999	KLESSIG et al.

Examiner's Initials*	Cite No. (optional)	OTHER DOCUMENTS
		ALVIM et al., "Enhanced Accumulation of BiP in Transgenic Plants Confer Tolerance to Water Stress" Plant Physiol., 126:1042-1054, 2001.
		LIN et al., "Arabidopsis thaliana chromosome 1 BAC F22HF genomic sequence, complete sequence," Genbank GI, No. 12331602 (online), 22-JAN-2001 (retrieved 1/14/2005). Retrieved from the Internet: <url:http: entrez="" query.fcgi?cmd="Display&DB=nucleotide" www.ncbi.nlm.nih.gov=""></url:http:>
•		PAPI et al., "Identifications and Disruption of an Arabidopsis Zinc Finger Gene Controlling Seed Germination," Genes Dev., 14:28-33, 2000.
		RUSHTON <i>et al.</i> , "Synthetic plant promoters containing defined regulatory elements provide novel insights into pathogen-and wound-inducing signaling," <i>Plant Cell</i> , 14:749-762, 2002.
		RYAN et al., Accession Number: T01350. EMBL database, 6 pages, 1999.
		YANAGISAWA, S., "The Dof family of plant transcription factors" <i>Trends Plant Sci.</i> , 7:555-560, 2002.
		YANAGISAWA, S., "Dof DNA-Binding Proteins Contain a Novel Zinc Finger Motif," <i>Trends Plant Sci.</i> , 1(7):213-214, 1996.
		ZHANG et al., "Interactions Between Distinct Types of DNA Binding Proteins Enhance Binding to ocs Element Promoter Sequences," Plant Cell, 7:2241-2252, 1995.
		EU ARABIDOPSIS SEQUENCING PROJECT, DNA Binding Protein. GenBank Accession No. CAB88324, page 1.

EXAMINER SIGNATURE:	DATE CONSIDERED:
SIGNATURE:	CONSIDERED:

^{*} Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.